

# Importance of Adequate DHA/ARA in Preterm Infants



ANNENBERG CENTER FOR HEALTH SCIENCES  
AT EISENHOWER  
*Imparting knowledge. Improving patient care.*

*Presented by*

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# Presenters

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# Learning Objectives



Describe the role DHA/ARA plays in developing infants



Recognize the importance of adequate DHA/ARA balance in preterm infants



Associate current NICU practices with DHA/ARA accretion rates in preterm infants



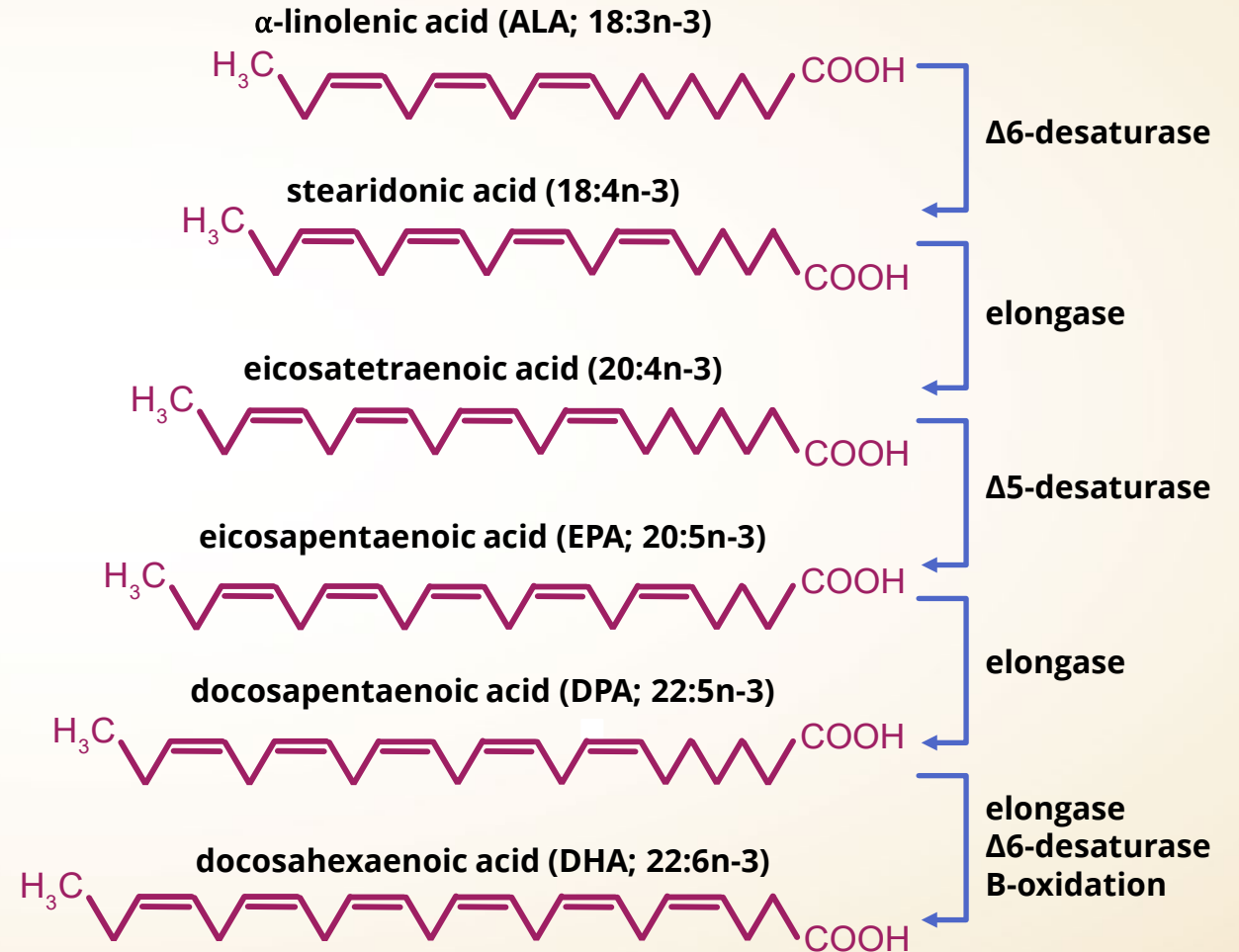


# THE ROLE OF DHA/ARA IN INFANT GROWTH



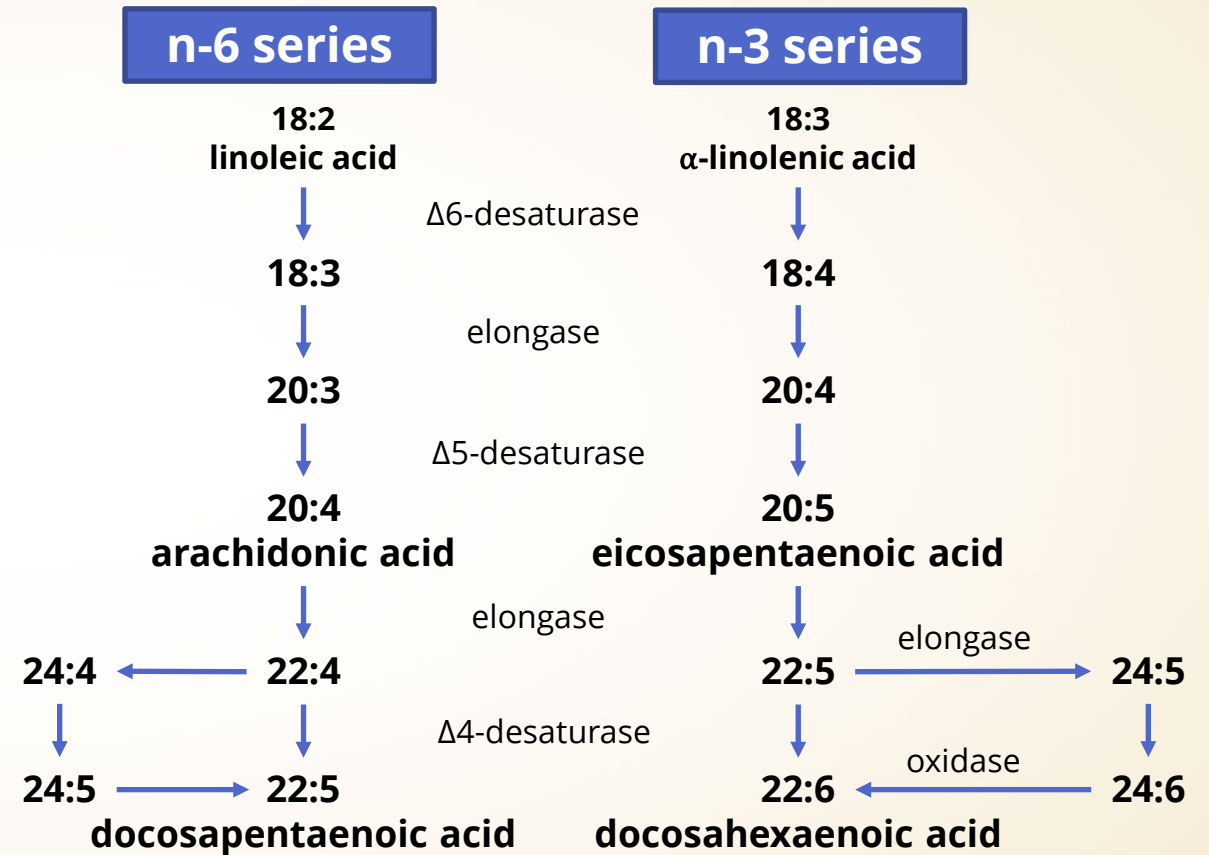
# Overview of Docosahexaenoic Acid (DHA)

- Long-chain, highly unsaturated omega-3 fatty acid (22:6n-3)
- Metabolized from  $\alpha$ -linolenic acid
- Found in seafood, especially fatty fish, and products derived from seafood



# Overview of Arachidonic Acid (ARA)

- Long-chain, highly unsaturated omega-6 fatty acid (20:4n-6)<sup>1</sup>
- Abundant in brain, muscles, and liver<sup>1</sup>
- Immediate precursor for adrenic acid<sup>2</sup>
  - Pathway for ARA utilization in infants to meet increase needed for neural tissue development





# Functions of DHA/ARA

**Structure and function  
of tissues**



**Retinal development**



**Brain development**



**Immune function**



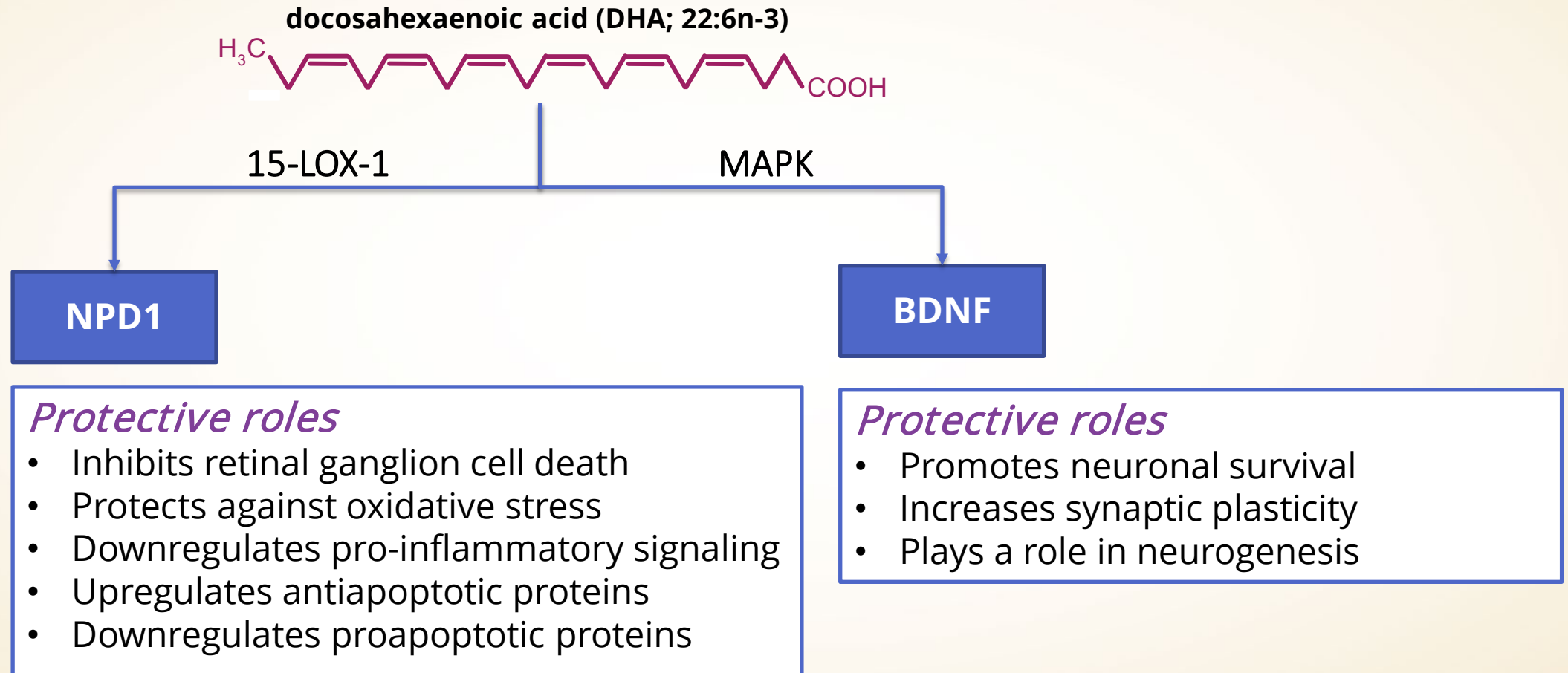


# DHA/ARA: Important Building Blocks of the Growing Brain

- DHA and ARA play an important role in neuronal cell division and signaling
- Mammalian brains are 60% fat
  - About **25% of the total fatty acid content of the brain** is made up of DHA or ARA
- DHA and ARA are primarily in the form of phospholipids
  - Found in **neural cell membranes**, providing structural support



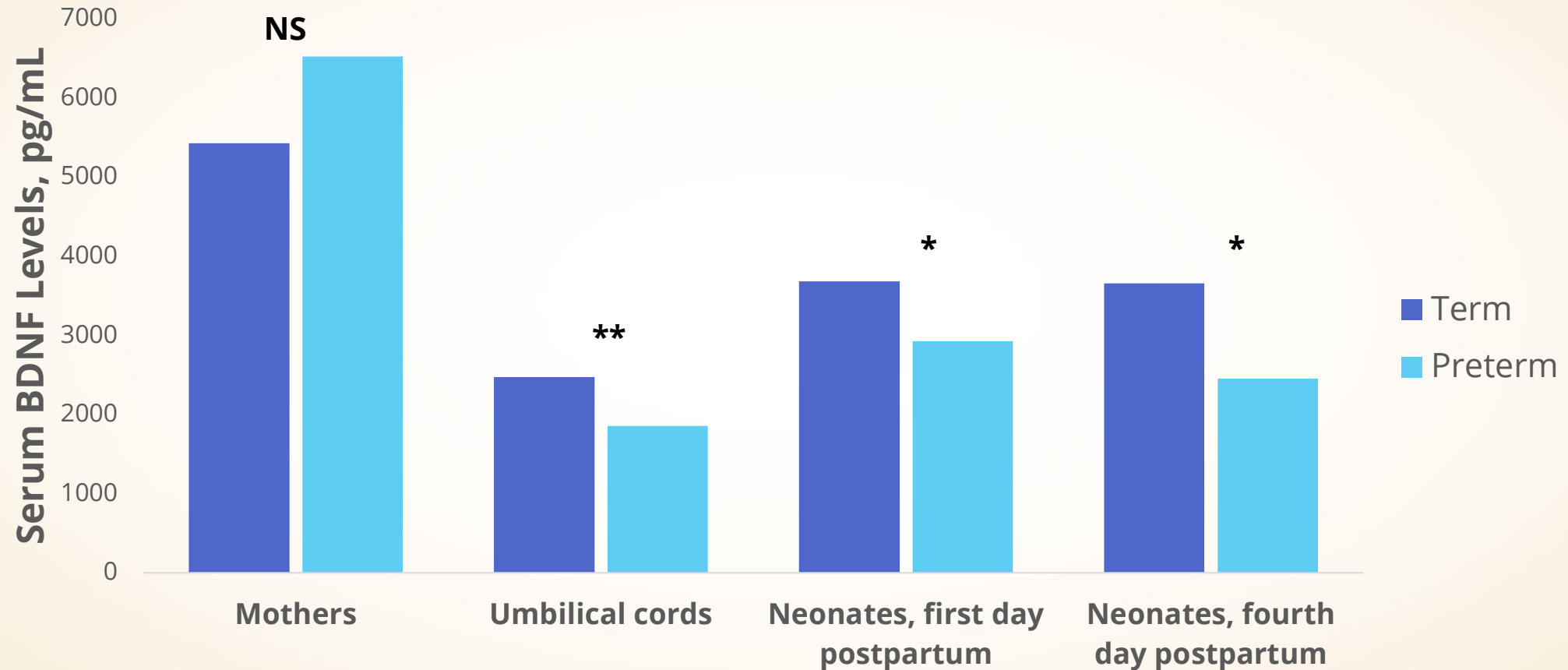
# DHA Signaling: NPD1 and BDNF



BDNF, brain-derived neurotrophic factor; NPD1, neuroprotectin D1.



# BDNF Levels Are Lower in Preterm Infants



BDNF, brain-derived neurotropic factor.

\* $P < .05$ ; \*\* $P < .001$ .

Prospective study in 30 healthy term and 15 healthy preterm neonates. BDNF measured by enzyme immunoassay.

1. Malamitsi-Puchner A, et al. *Early Hum Dev.* 2004;76(1):17-22.
2. Rao JS, Ertley RN, DeMar JC Jr, Rapoport SI, Bazinet RP, Lee HJ. Dietary n-3 PUFA deprivation alters expression of enzymes of the arachidonic acid docosahexaenoic acid cascades in rat frontal cortex. *Mol Psychiatry.* 2007;12(2):151-157.



# DHA/ARA in Infant Retinal Development

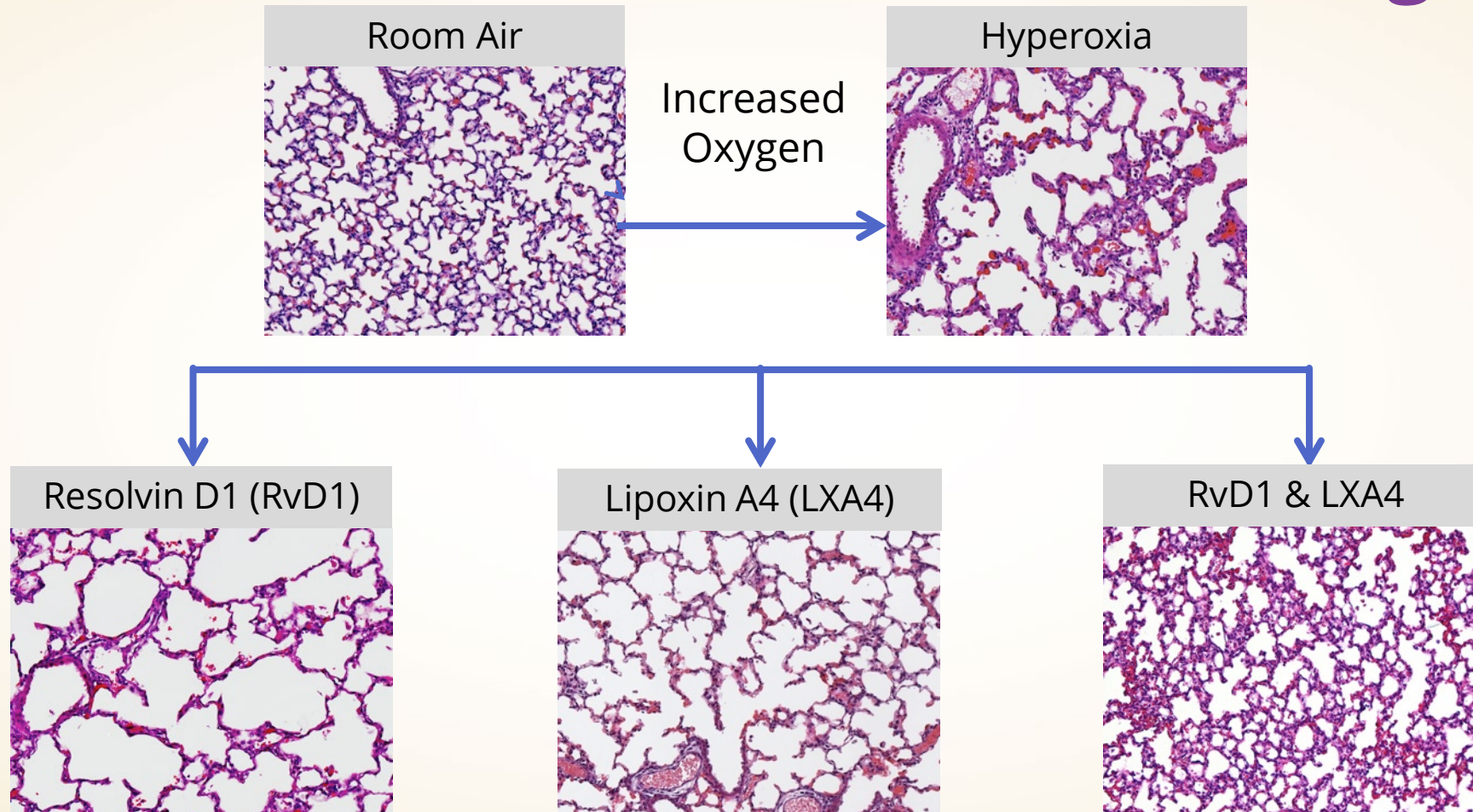
- DHA/ARA play a role in the maturation and survival of photoreceptor cells<sup>1-3</sup>
- Incorporation of DHA into phospholipids is important for retinal function<sup>4</sup>
- Animals raised on fatty acid-free diets develop abnormal electroretinograms<sup>5</sup>

Electroretinography (ERG) is an eye test that detects function of the retina by measuring electrical response of the light-sensitive cells in eyes.

1. Benolken RM, et al. *Science*. 1973;182:1253-1254. 2. Jastrzebska B, et al. *Prog Lipid Res*. 2011;50:267-277. 3. Jeffrey BG, et al. *Invest Ophthalmol Vis Sci*. 2009;50:4360-4367. 4. Shindou H, et al. *J Biol Chem*. 2017;292(29):12054-12064. 5. Neuringer M, et al. *J Clin Invest*. 1984;73:272-276.



# DHA and ARA Metabolites Attenuate Lung Injury<sup>†</sup>



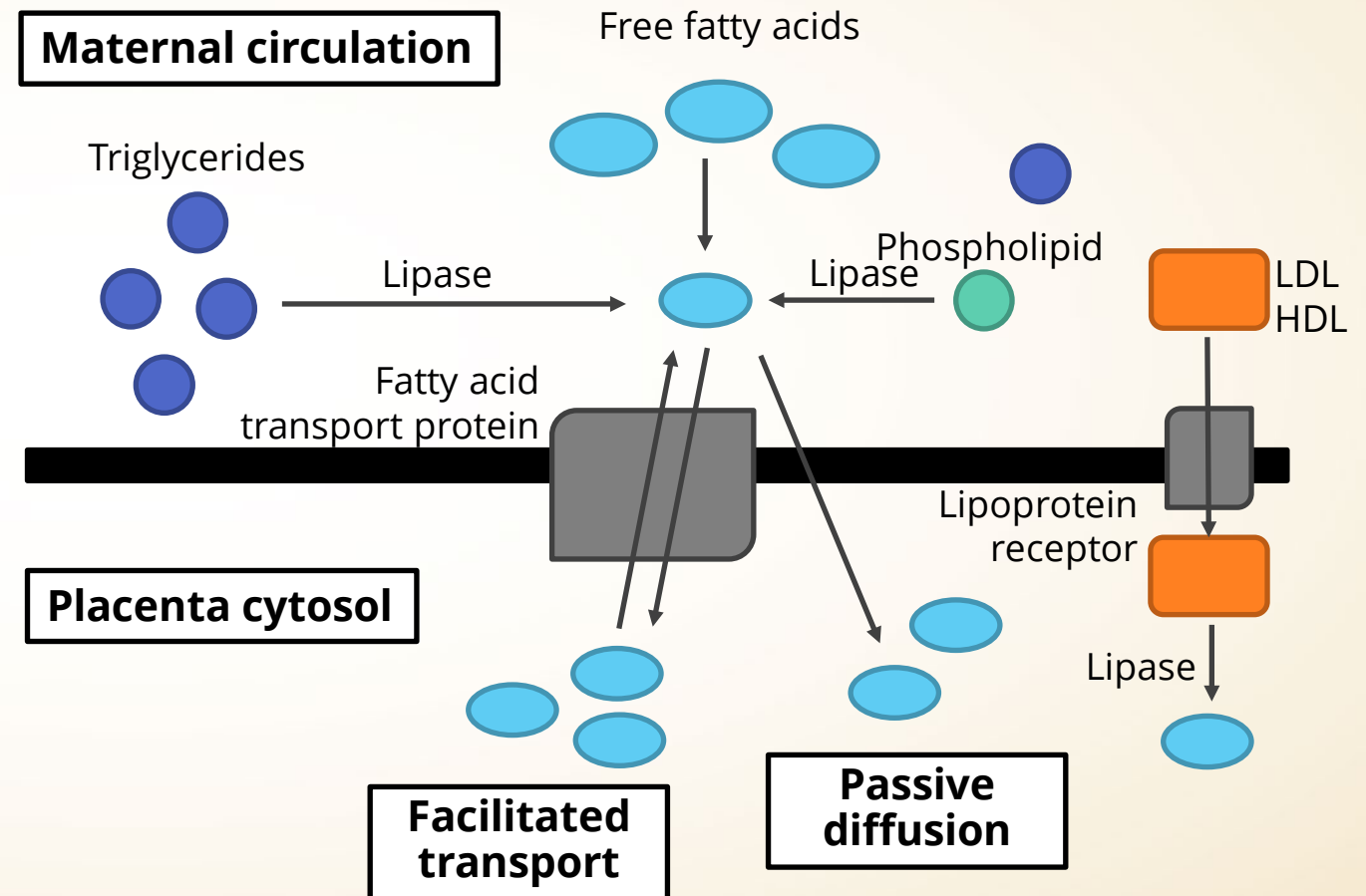
Histology (H&E): 200x.

<sup>†</sup>C57/BL6 pups were randomized at birth and treated IP on days 0, 3, 6, and 9. On day 10, mice were sacrificed and lungs were collected for morphometric analyses. Hyperoxia, >90% oxygen.



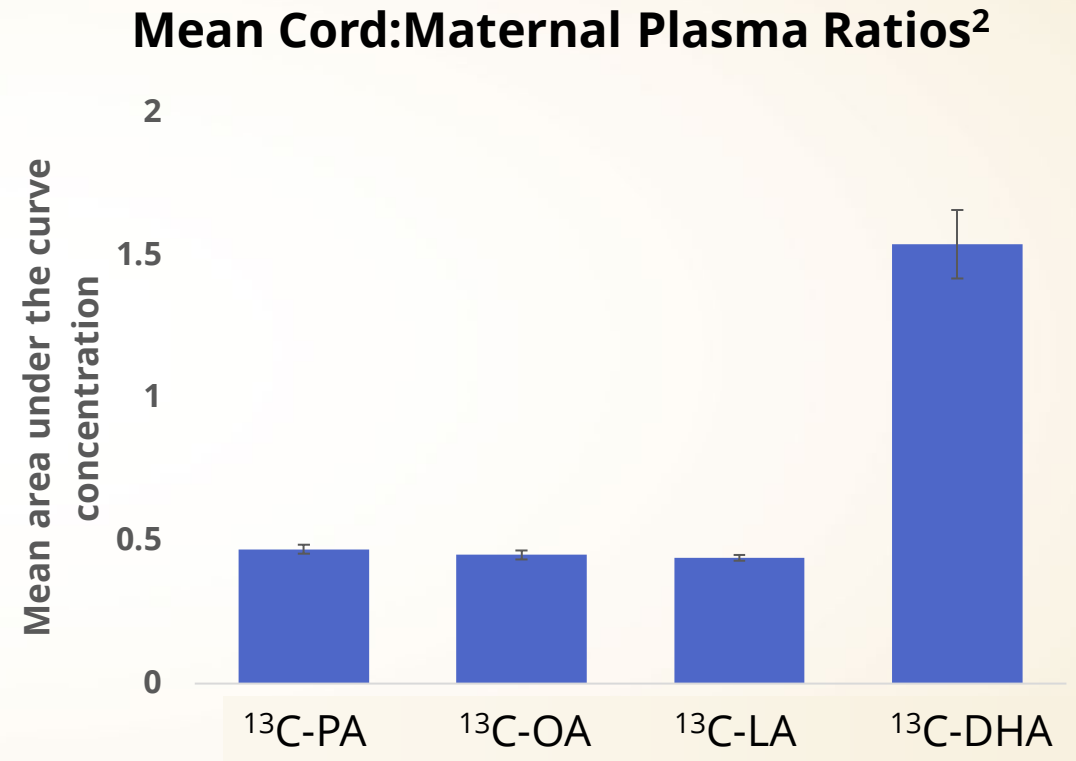
# Mechanisms of Maternal-Fetal Fatty Acid Delivery

- Mechanisms involved in fatty acid transfer across placenta are poorly defined
- DHA may selectively accumulate in fetal tissue through higher placental uptake
- Fatty acid transfer can occur through passive diffusion or facilitated transfer



# DHA Accumulates in the Placenta at a Higher Rate Than Other Fatty Acids<sup>1,2</sup>

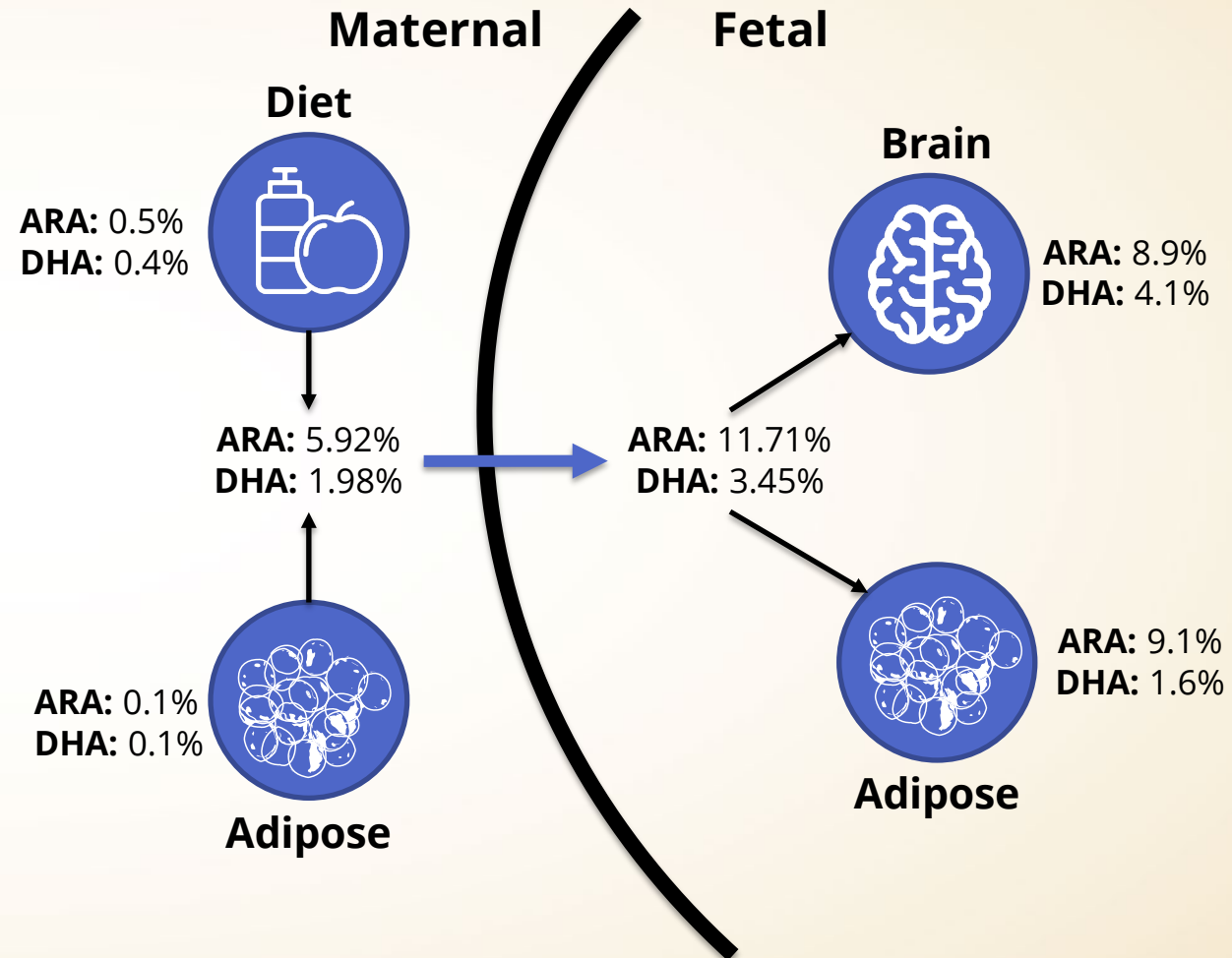
- In a study of 11 pregnant women given radio-labeled fatty acids, the maternal-fetal distribution was evaluated
- Twelve hours after oral intake of fatty acids, an elective cesarean section was performed
- Mean ratios between cord and maternal plasma area under the curve (AUC) concentration of <sup>13</sup>C-fatty acids (expressed as percentages) are shown in the figure





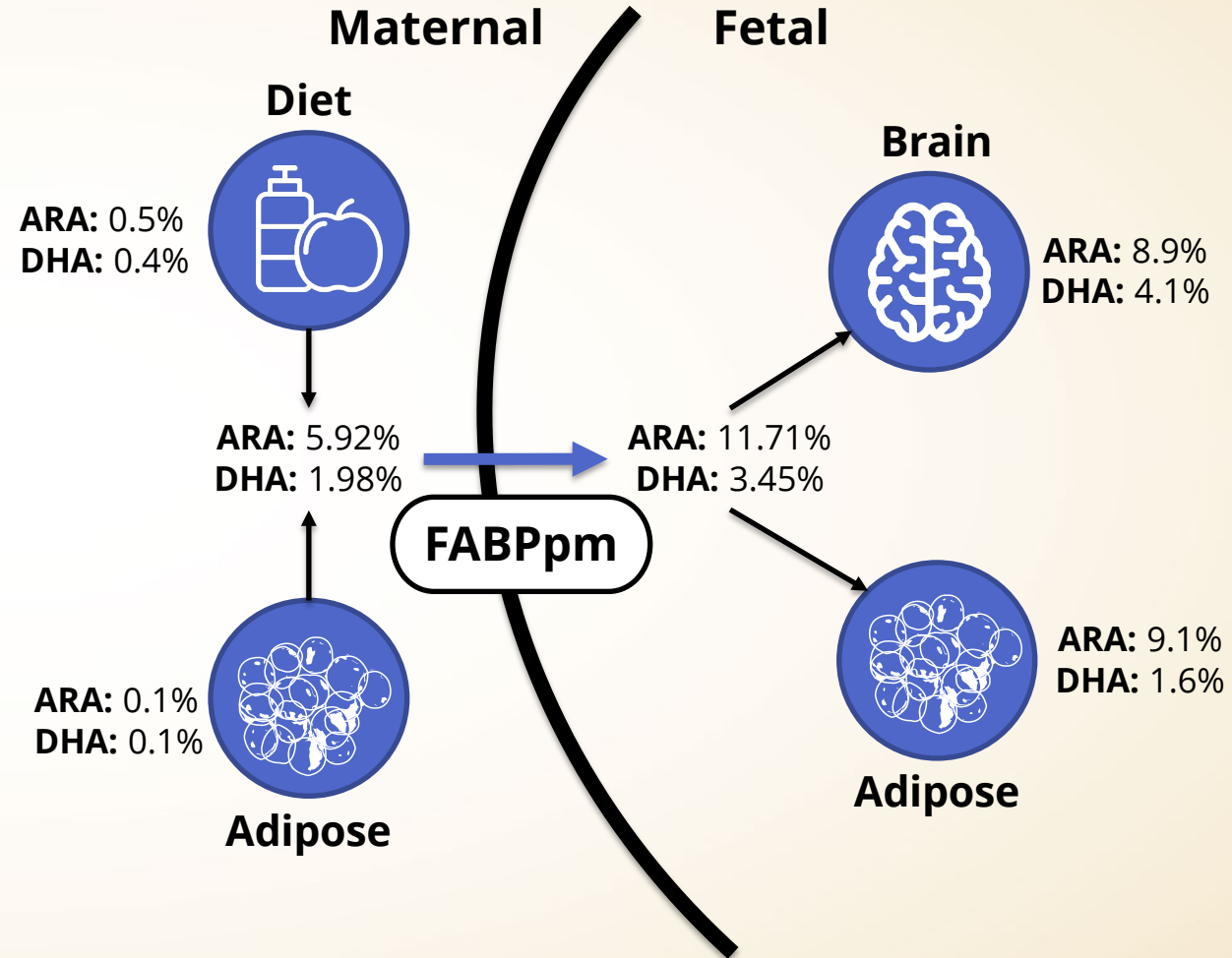
# Accumulation of DHA/ARA in Fetal Tissue at End of Pregnancy<sup>1-5</sup>

- During the final weeks of pregnancy, the DHA and ARA content in **fetal plasma is almost twice as high** as in the mother's blood<sup>1-4</sup>
- Towards the end of the pregnancy, levels are **several times higher in fetal adipose tissue** than in the maternal adipose tissue:<sup>1-4</sup>
  - **DHA:** 16 times higher
  - **ARA:** 90 times higher



# FABPpm Transports DHA Selectively Across the Placenta<sup>1-4</sup>

- Membrane associated fatty acid binding protein (FABPpm) is a peripheral membrane protein and fatty acid transporter
- FABPpm selectively binds LC-PUFA on the maternal side to transfer fatty acids to the placenta<sup>1,2</sup>
- FABPpm binds only 10% of total fatty acids, with a high affinity for DHA/ARA:
  - ARA: 98%
  - DHA: 87%
  - Smaller quantities of LA and OA (oleic acid)<sup>3</sup>



# Enteral Sources of DHA/ARA for Term Infants

- **Human milk:** DHA/ARA is always present<sup>1</sup>
  - Concentration of DHA/ARA is related to maternal diet
  - Breastfeeding is ideal, but the majority of infants receive some formula during the first year of life<sup>2</sup>
- **Formula:** DHA/ARA is added in the US since 2001 based on worldwide averages in human milk<sup>3</sup>
  - 0.2% to 0.4% DHA
  - 0.35% to 0.7% ARA



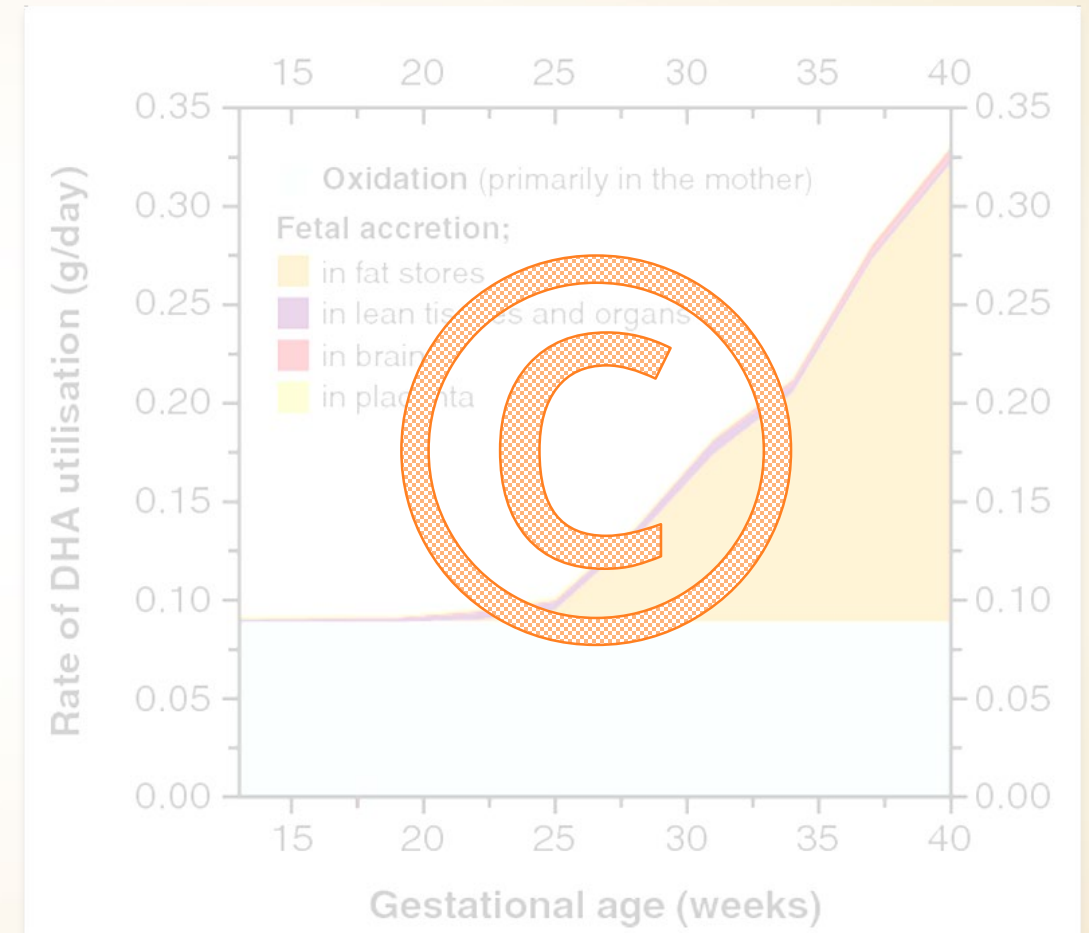


## IMPORTANCE OF ADEQUATE DHA/ARA BALANCE IN PRETERM INFANTS

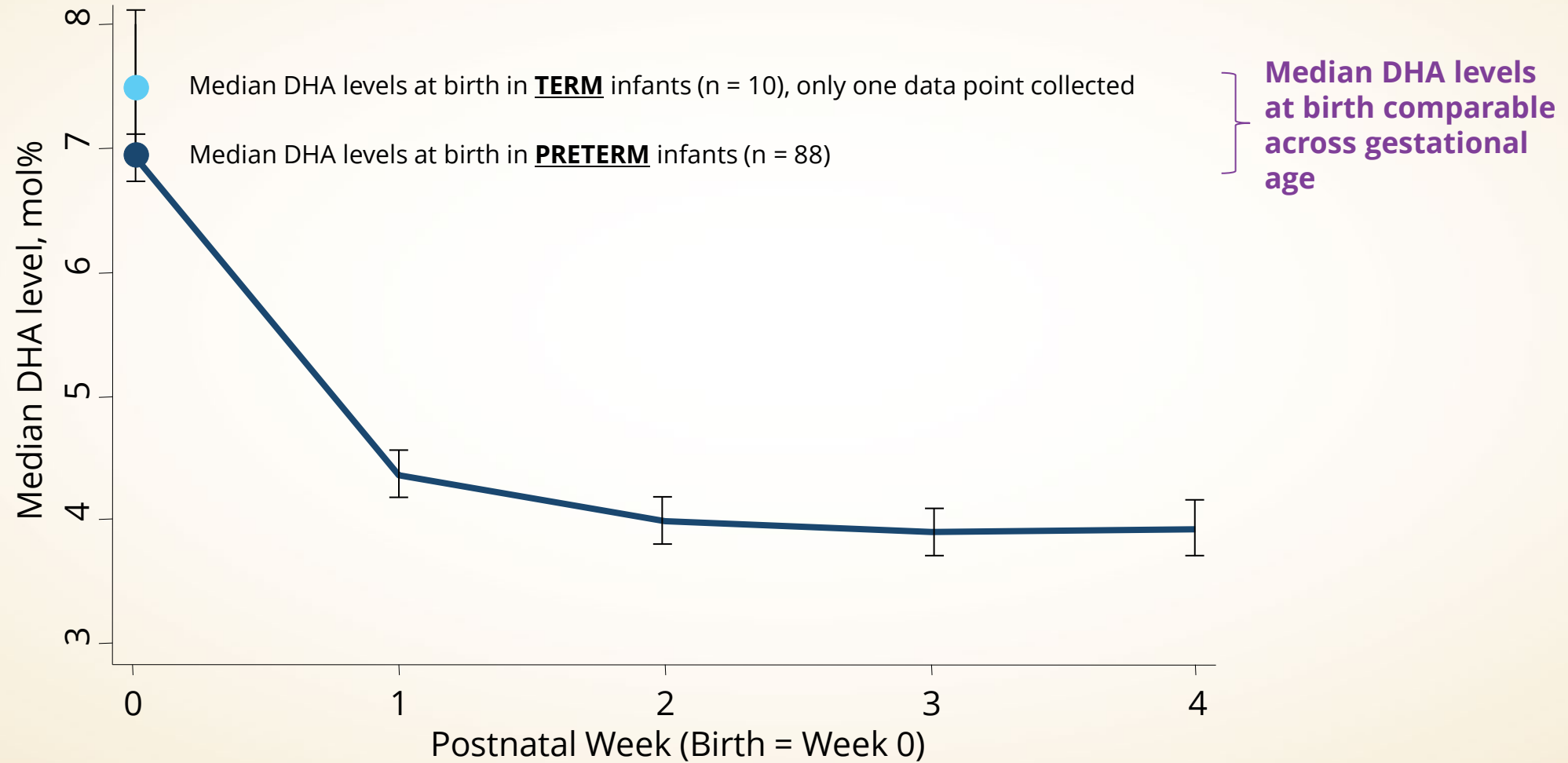


# DHA/ARA Are Stored in the Brain and Adipose Tissue

- Since maternal diets may not always meet DHA/ARA needs, these fatty acids are stored in the adipose tissue for later use
- Storage in adipose tissue increases substantially in the final weeks of pregnancy
- Preterm infants may not have the same levels of DHA/ARA accretion as term infants



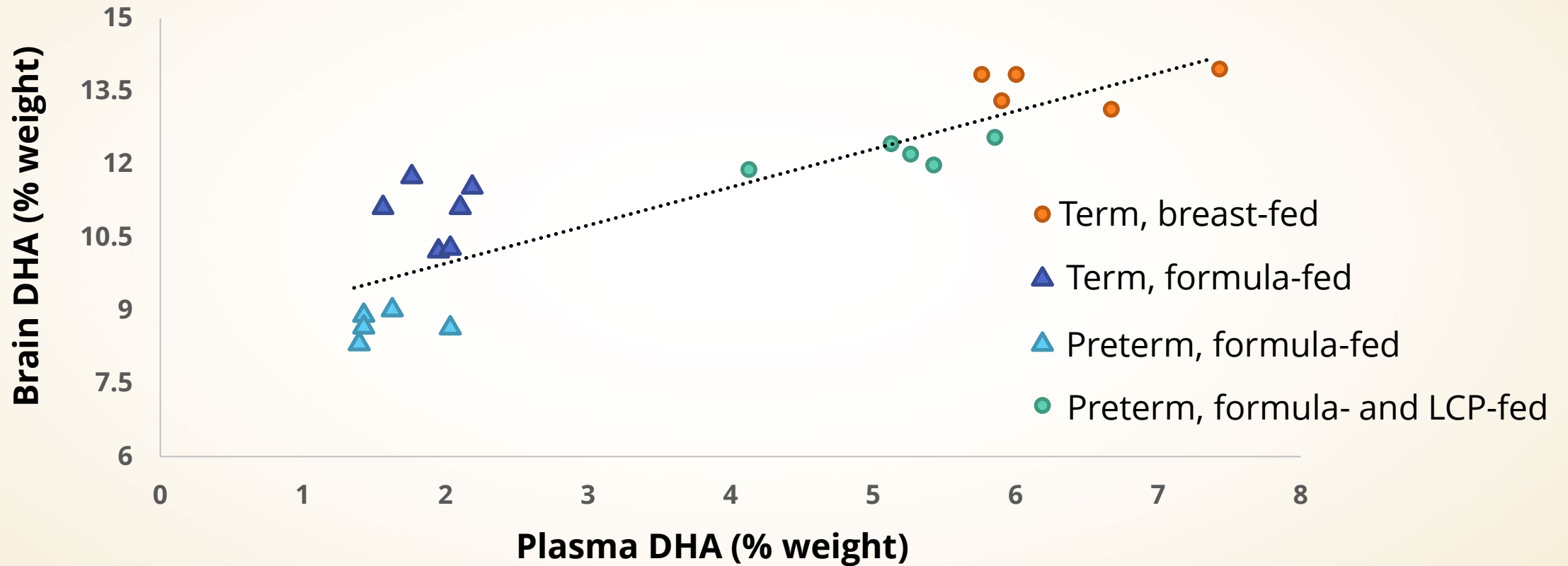
# DHA Levels Rapidly Decline in the First Postnatal Week



Retrospective cohort study of 88 infants born at <30 weeks' gestation. Fatty acid profiles and infant outcomes were assessed during the first postnatal month.



# Plasma Levels of DHA Approximate Brain Levels<sup>†</sup>

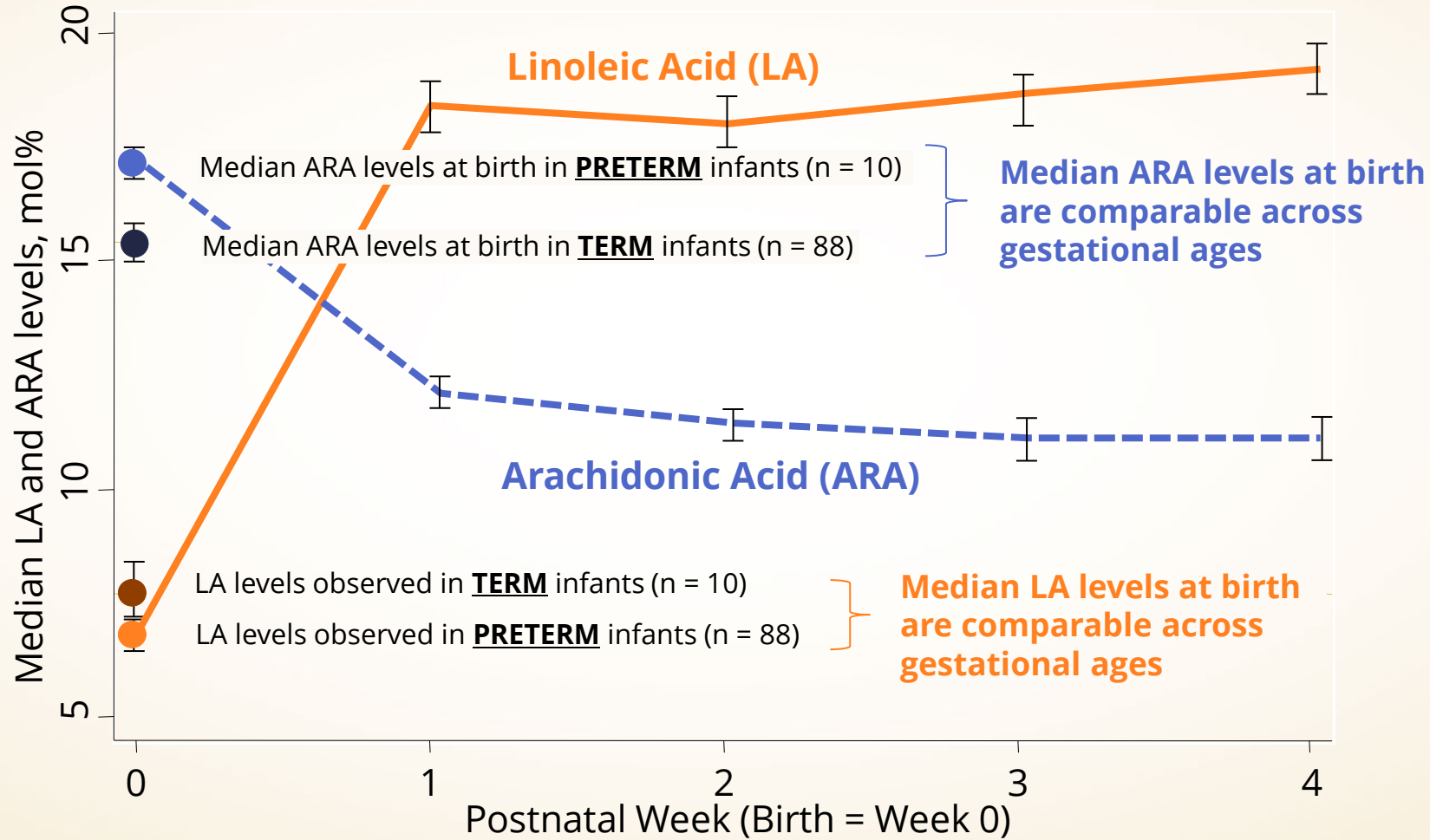


<sup>†</sup>Study in 22 pregnant baboons/neonates randomized to term breast-fed, term formula-fed, preterm formula-fed, or preterm DHA/ARA-supplemented formula-fed





# ARA Levels Are Rapidly Altered in the First Postnatal Week



Retrospective cohort study of 88 infants born at <30 weeks' gestation. Fatty acid profiles and infant outcomes were assessed during the first postnatal month.

Martin CR, et al. *J Pediatr.* 2011;159(5):743-749.e1-2.



# DHA/ARA Imbalance Associated With Disease in Preterm Infants



**Suboptimal cognitive development**



**Retinopathy of prematurity**



**Chronic lung disease**



**Sepsis and infection**



# DHA/ARA Levels Are Linked With Improved Neurodevelopment

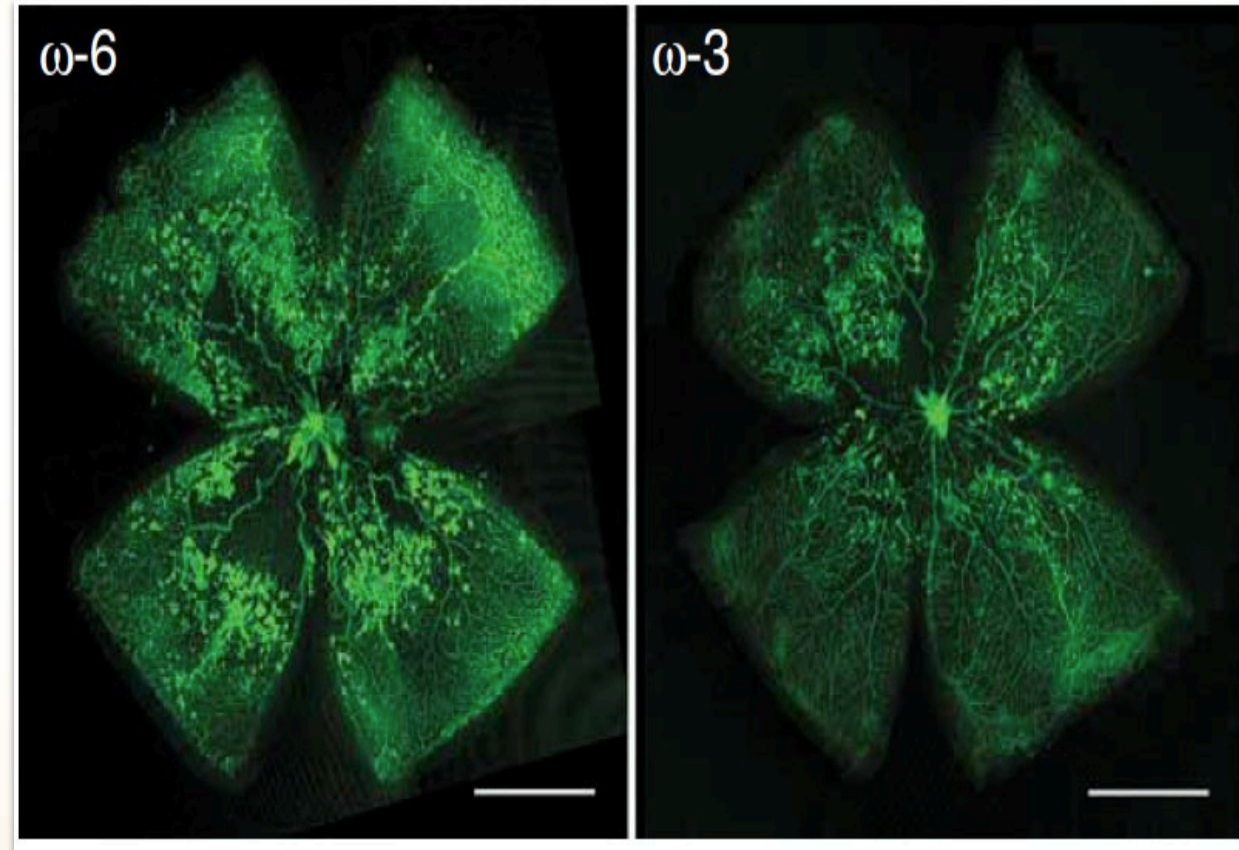
- In a study of 60 preterm infants, red blood cell fatty acid composition was evaluated
- A 1% increase in DHA levels was associated with **4.3-fold reduced risk of intraventricular hemorrhage**
- Higher DHA and lower LA levels early after delivery were associated with **better developmental scores at a mean follow-up of 33 months**



# Omega-3 and Retinopathy of Prematurity<sup>†</sup>

Vaso-obliteration / Neovascularization:  
**21.5% / 9%**                      **13.7% / 5.7%**

- Mice receiving  $\omega$ -6-PUFAs had a significantly greater vaso-obliterated/total retinal area of 21.5% vs 13.7% in those receiving  $\omega$ -3-PUFAs
- Mice receiving  $\omega$ -3-PUFAs were significantly protected from pathologic neovascularization (5.7% vs 9.0%)



<sup>†</sup>Mouse pups exposed to 75% O<sub>2</sub> from P7-P12. Scale bar, 1 mm. P17 retinal vasculature stained with isolectin B4-FITC. Omega-6, n = 14; omega-3, n = 27.



Update (Nov-2021):

## **Lipid supplement with 2:1**

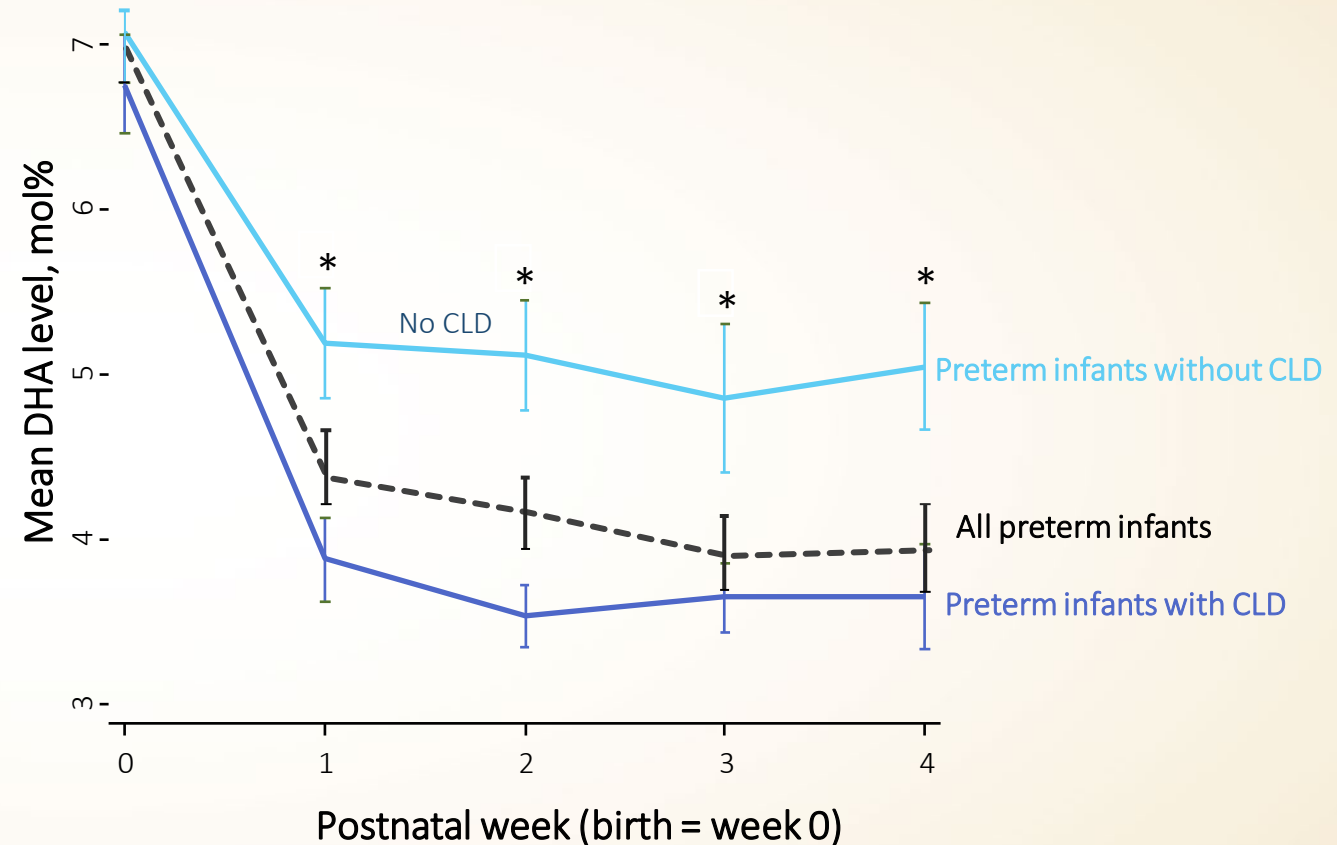
### **ARA:DHA ratio reduced severe ROP**

1. Hellström A, Nilsson AK, Wackernagel D, et al. Effect of Enteral Lipid Supplement on Severe Retinopathy of Prematurity: A Randomized Clinical Trial. *JAMA Pediatr.* [Internet] 2021; Available from: <http://dx.doi.org/10.1001/jamapediatrics.2020.5653>
2. Hellström A, Pivodic A, Gränse L, et al. Association of Docosahexaenoic Acid and Arachidonic Acid Serum Levels With Retinopathy of Prematurity in Preterm Infants. *JAMA Netw Open.* 2021;4(10):e2128771.



# Low DHA Levels Are Linked to the Development of Chronic Lung Disease

- In a retrospective cohort study of 88 preterm infants, fatty acid profiles were measured for the first 4 weeks
- Decreased levels of DHA were associated with a **2.5-fold increased risk of chronic lung disease (CLD)**
- Imbalanced DHA/LA levels were associated with an **8.6-fold increased risk of CLD**



# Association With Increased Risk of Chronic Lung Disease and Late-onset Sepsis

- In the same study, fatty acid levels and imbalance were associated with late-onset sepsis
- Imbalanced LA:DHA was associated with a **4.6-fold increased risk** of late-onset sepsis

Chronic lung disease		
Fatty acid	OR (95% CI)	<i>P</i>
LA	0.9 (0.7, 1.1)	.4
ARA	0.9 (0.6, 1.3)	.6
DHA	2.5 (1.3, 5.0)	.001
LA: DHA	8.6 (1.4, 53.1)	.02
Late-onset sepsis		
Fatty acid	Hazard ratio (95% CI)	<i>P</i>
LA	0.8 (0.7, 0.96)	.02
ARA	1.4 (1.1, 1.7)	.02
DHA	1.4 (1.0, 2.0)	.08
LA: DHA	4.6 (1.5, 14.1)	.007

Models adjusted for gestational age, gender, growth restriction, severity of illness, total Intralipid intake.





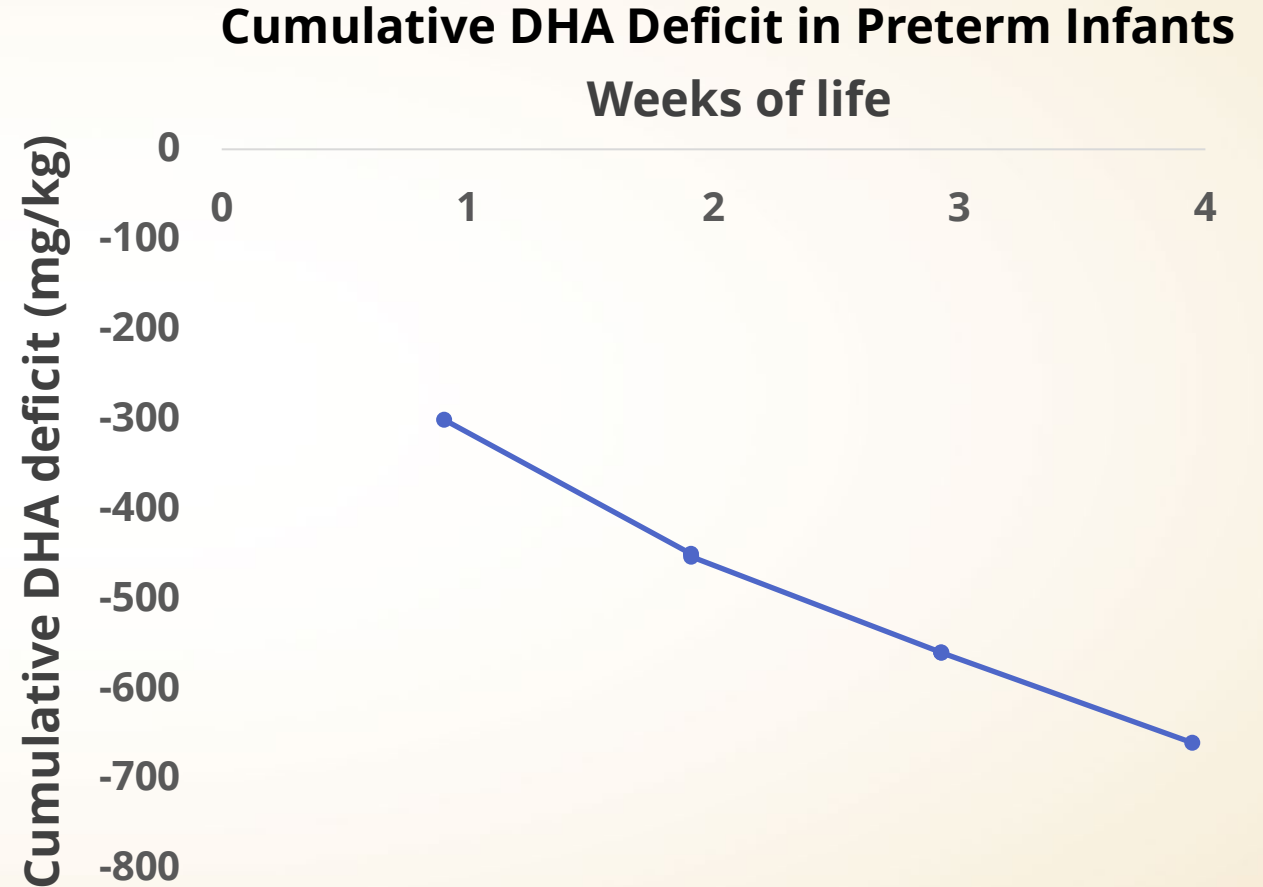


# DHA/ARA SUPPLEMENTATION IN PRETERM INFANTS



# Current Nutritional Practices Are Inadequate to Maintain Optimal Levels of Fatty Acids in Preterm Infants<sup>†</sup>

- In a study of 40 preterm infants ( $\leq 28$  weeks gestational age), nutritional data were collected for the first 4 weeks of life
- DHA deficit was greater for low-birth-weight infants
- In preterm infants, DHA accumulation is half that of term infants at 1 month of age



<sup>†</sup>Nutritional data were collected for the first 28 days of life in 40 preterm infants born with a gestational age  $\leq 28$  weeks at a single center.



# Challenges in Achieving Adequate DHA/ARA Intake in Preterm Infants

- Delivery of DHA/ARA<sup>1</sup>
  - Breast milk and formulas provide DHA/ARA
  - Intravenous lipid emulsions available for routine use do not include DHA/ARA
  - Extremely premature infants may rely on intravenous lipid emulsion for the first weeks of life,<sup>2</sup> contributing to DHA/ARA deficiency



# Challenges in Achieving Adequate DHA/ARA Intake in Preterm Infants

- Providing additional DHA is dependent on the infant's ability to tolerate full-volume enteral feedings
  - Variable among NICUs
  - Dependent on size, clinical status, and gestational age



# DHA Supplementation Alleviates DHA Deficiency in Preterm Infants<sup>†</sup>

	Baseline DHA, mol%	Full-Feedings, DHA mol%	Discharge, DHA mol%
<b>Placebo preterm (n = 29)</b>	2.91 (0.45)	2.83 (0.50)	2.87 (0.50)*
<b>DHA preterm (n = 31)</b>	2.88 (0.68)	3.03 (0.54)	3.55 (0.44)**
<b>Term (n = 30)</b>	4.31 (0.95)		

<sup>†</sup>Double-blind, randomized, controlled trial evaluating DHA supplementation (50 mg/day) for preterm infants (24-34 weeks gestational age) beginning in the first week of life.

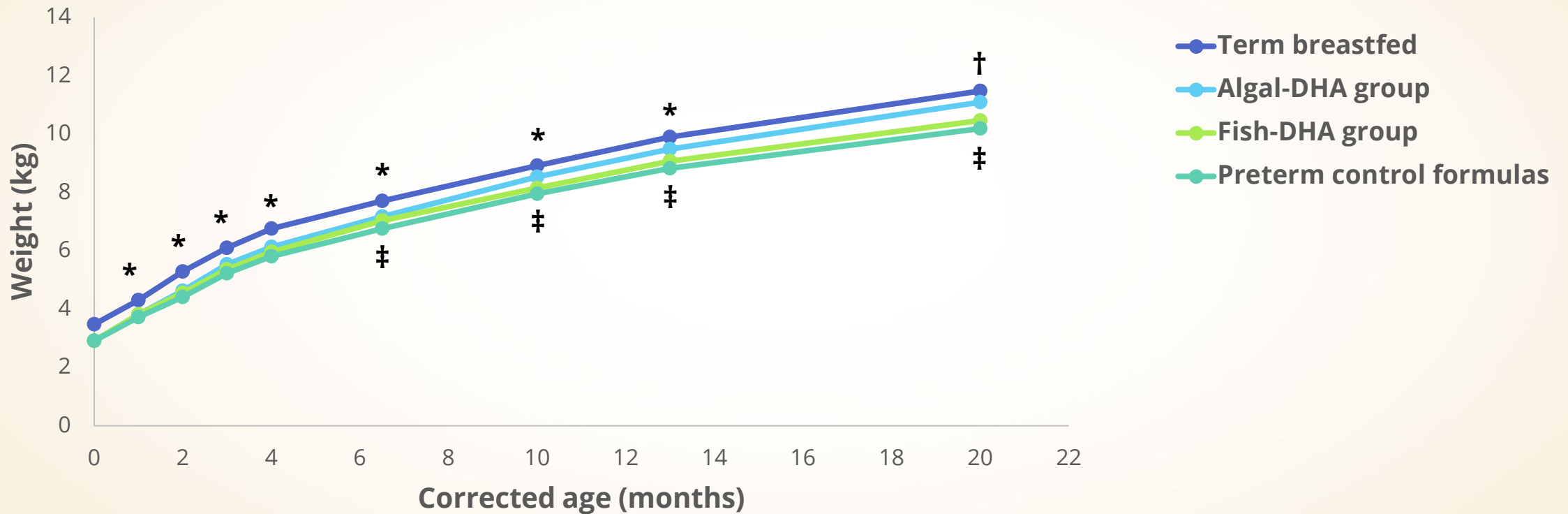
Results expressed as mean (SD) mol%.

\*Groups compared with term reference peers via ANOVA;  $P < .001$ .

\*\*Placebo vs DHA comparison via linear mixed models;  $P < .001$ .



# Weight of Preterm Infants Fed DHA/ARA Formula Is Closer to Term Breast-fed Infants<sup>§</sup>



<sup>§</sup>Double-blinded study of 361 preterm infants fed until 92 weeks postmenstrual age, with follow-up to 118 weeks postmenstrual age

\*Breast-fed term infants > all preterm groups ( $P < .05$ ).

†Infant formulas not different from term breast milk, both > control and fish/fungal formulas.

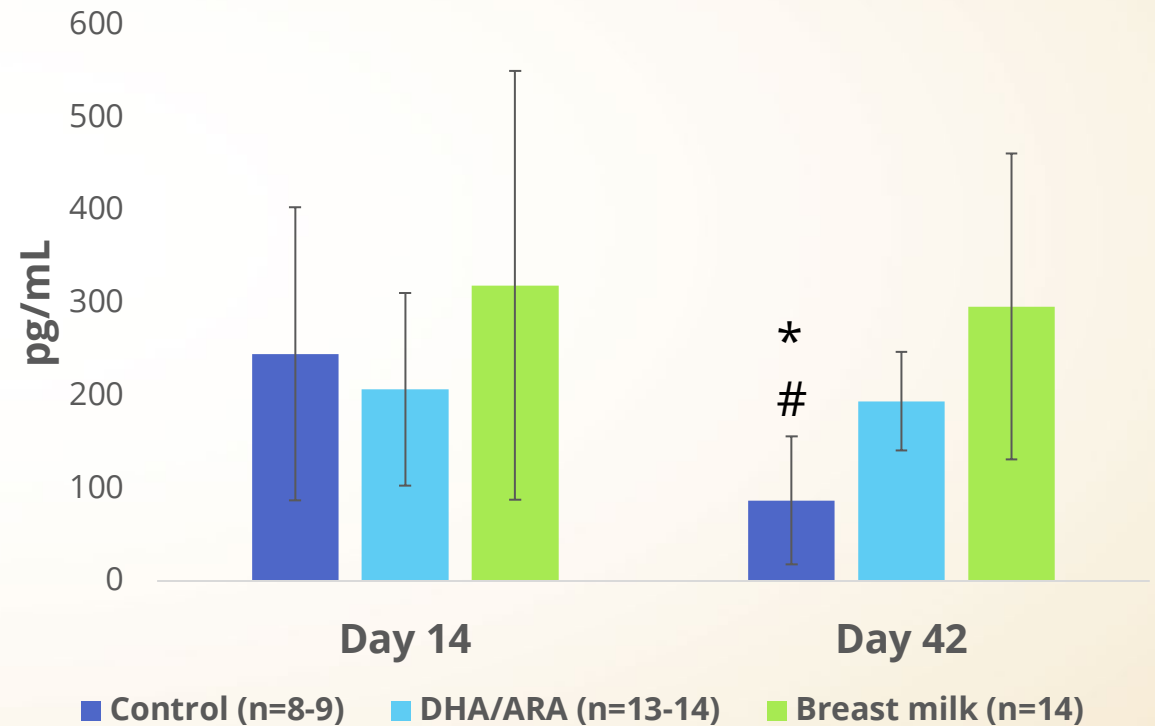
‡Infant formulas > control formulas.



# Enhanced Immune Function With DHA/ARA Supplementation<sup>†</sup>

- Adding DHA/ARA to preterm formula resulted in immune function more consistent with breast milk-fed infants<sup>1</sup>
- In another study, infants supplemented with DHA had reduced levels of inflammatory cytokines believed to play a role in type 1 diabetes development<sup>2</sup>
- DHA/ARA supplementation may affect the ability of an infant to respond to immune challenges<sup>1,2</sup>

Cytokine IL-10 production by stimulated peripheral blood cell lymphocytes<sup>1</sup>



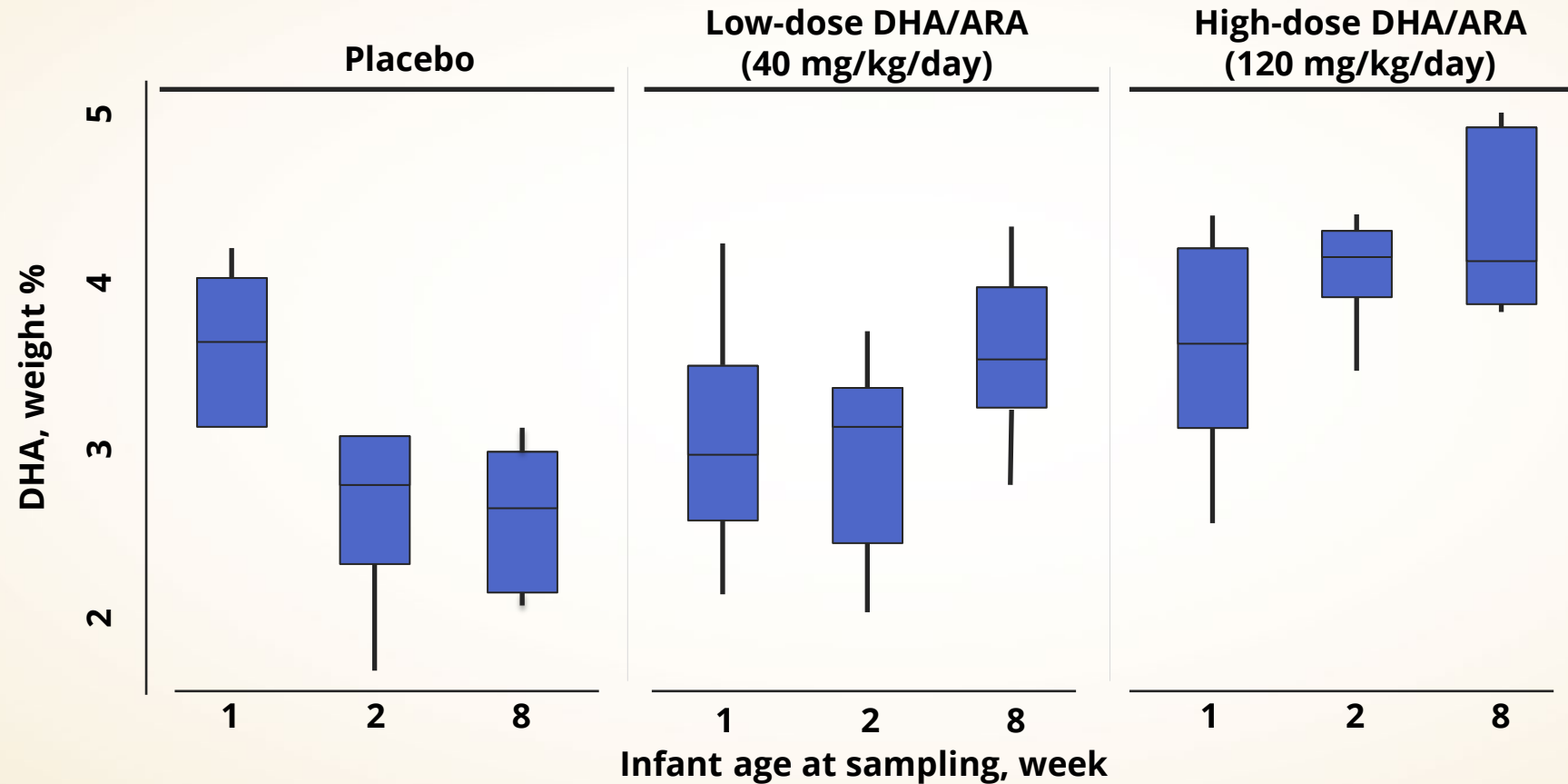
<sup>†</sup>Study of 44 preterm infants with gestational ages between 27 and 36 weeks receiving 100% enteral nutrition by day 14 of life.

\* $P < .05$ , compared with Day 14. # $P < .05$ , compared with breast milk, Day 42.





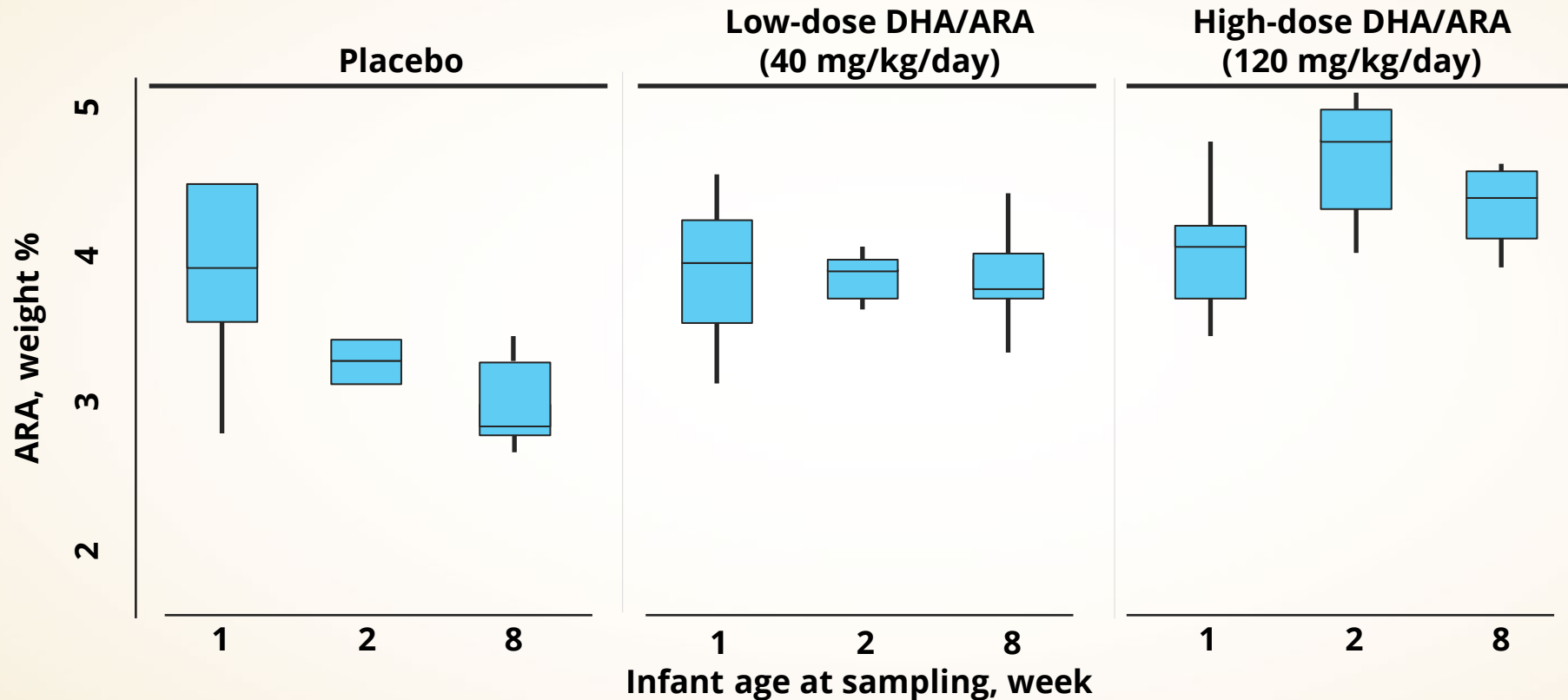
# Effect of Emulsified DHA/ARA Enteral Supplementation on Serum DHA Levels in Preterm Infants



Randomized, double-blind, placebo-controlled trial of 30 very low-birth-weight infants given low dose or high dose PUFA via nasogastric tube. Dosing started within first 72 h of life and was given for 8 weeks or until discharge, whichever came first.



# Effect of Emulsified DHA/ARA Enteral Supplementation on Serum ARA Levels in Preterm Infants<sup>†</sup>



<sup>†</sup>Randomized, double-blind, placebo-controlled trial of 30 very low-birth-weight infants given low dose or high dose PUFA via nasogastric tube. Dosing started within first 72 h of life and was given for 8 weeks or until discharge, whichever came first.



# DHA/ARA Supplementation and Neurodevelopment in Preterm Infant Neurodevelopment at 6 Months and 1 Year<sup>†</sup>

- In a double-blind study, preterm infants between gestational age of 30 and 37 weeks were randomly assigned to receive formula with or without DHA/ARA supplementation
- DHA/ARA supplementation for 6 months led to significantly increased measures of neurodevelopment in preterm infants

Age	Mean ± SD MDI score		Mean ± SD PDI score	
	6 mo	1 y	6 mo	1 y
<b>+DHA/ARA (n = 16)</b>	96.1±8.6	98.7±8.0	102.2±10.5	98.0±5.8
<b>-DHA/ARA (n = 11)</b>	91.7±10.4	90.5±6.9	95.4±13.2	86.7±11.1

<sup>†</sup>Double-blind, randomized study of preterm infants >2000 g body weight and >32 weeks of gestation in full feeding status who received formula with or without DHA/ARA for 6 months.

MDI, Mental Development Index; PDI, Psychomotor Development Index.



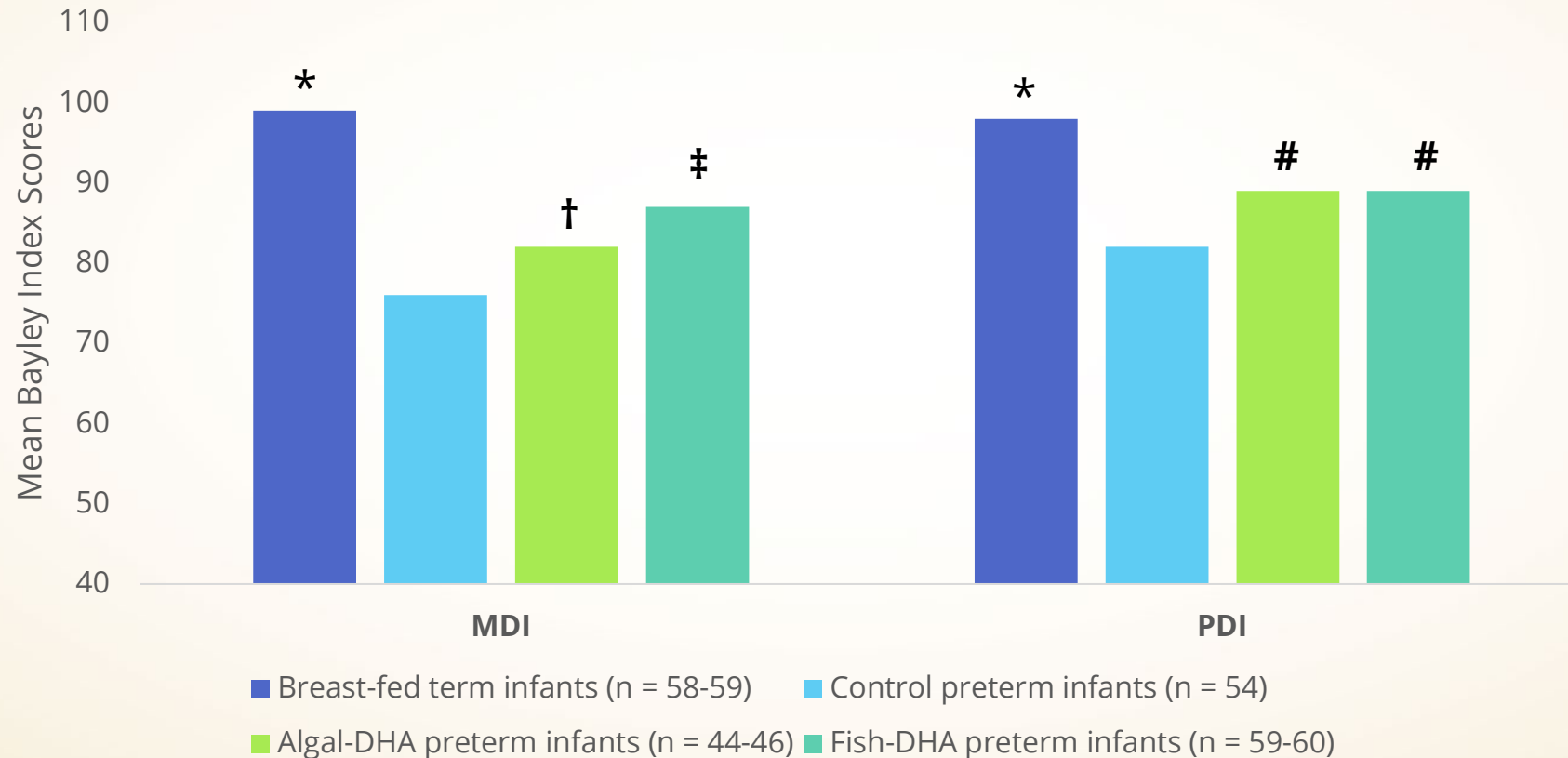
# DHA/ARA Supplementation Improves Cognitive Development at 6 Months<sup>†</sup>

	Score, Mean (SD)	
	Control (n = 55)	DHA/ARA supplementation (n = 50)
<b>Total score</b>	215 (39)	221 (32)
<b>Communication</b>	46.6 (9.1)	45.4 (7.9)
<b>Gross motor</b>	30.9 (11.1)	33.3 (11.5)
<b>Fine motor</b>	45.8 (14.3)	45.2 (10.7)
<b>Problem-solving</b>	49.5 (9.5)	53.4 (7.0)*
<b>Personal-social</b>	42.2 (12.3)	43.2 (12.8)

<sup>†</sup>Randomized, double-blind, placebo-controlled study of 141 infants with birth weights <1500 g. Intervention = 32 mg DHA + 31 mg ARA per 100 mL human milk started 1 week after birth and continued through hospital discharge (mean, 9 weeks). Cognitive development assessed at 6 months of age using Ages and Stages Questionnaire.



# DHA/ARA Supplementation Improves Bayley Scores at 118 Weeks Postmenstrual Age in a Double-Blind Study

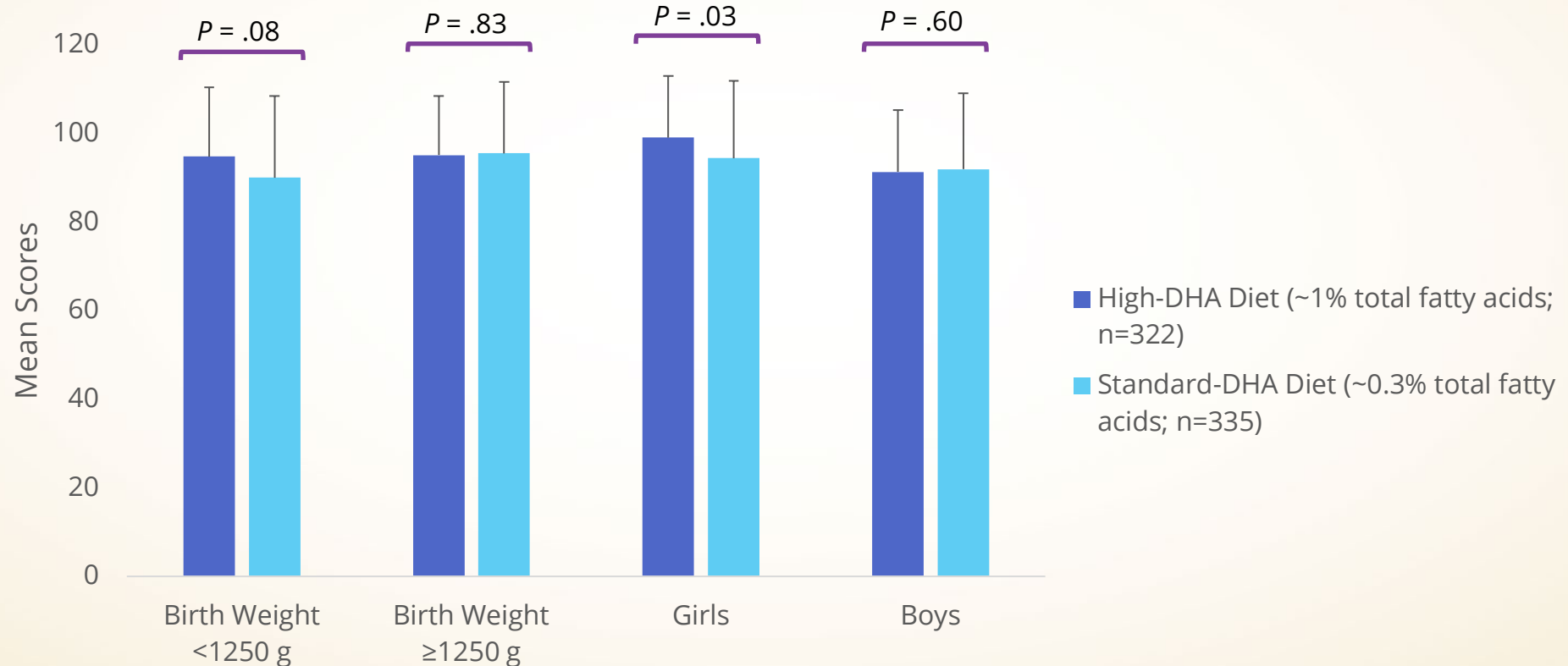


MDI, Mental Development Index; PDI, Psychomotor Development Index. \*Breast-fed term > control, algal-DHA, fish-DHA ( $P < .05$ ); †Algal-DHA > control ( $P = .056$ ); ‡ Fish-DHA > control ( $P < .05$ ); #Algal-DHA, fish-DHA > control ( $P < .05$ ). Parentheses indicate number of infants per group. Double-blinded study of 361 preterm infants. MDI and PDI assessed at 118 weeks postmenstrual age.



# Conflicting Results Regarding Benefit of DHA/ARA Supplementation in Preterm Infant Neurodevelopment: No Significant Difference<sup>†</sup>

## Bayley MDI Scores at 18 Months' Corrected Age



<sup>†</sup>Randomized, double-blind controlled trial of 657 infants born at less than 33 weeks' gestation at 5 Australian tertiary hospitals with follow-up to 18 months. Standard-/high-DHA enteral feeds were given from day 2 to 4 of life until term corrected age



# Long-Chain PUFA Supplementation in Formula

- Systematic review and meta-analysis of 17 randomized trials (13 classified as high quality) of formula supplemented with LCPUFA to assess safety and benefit to preterm infants
- Infants enrolled in the trials were relatively mature and healthy preterm infants
- Assessment schedule and methodology, dose and source of supplementation and fatty acid composition of the control formula varied between trials
- On pooling of results, **no clear long-term benefits or harms** were demonstrated for preterm infants receiving LCPUFA-supplemented formula



# Enteral Strategies for Increasing DHA/ARA Intake in Preterm Infants

- Maternal supplementation
- Breast milk (mother's own)
- Structured lipids
- Pre-emulsified lipids
- Enzyme technologies

Update (Nov-2021):

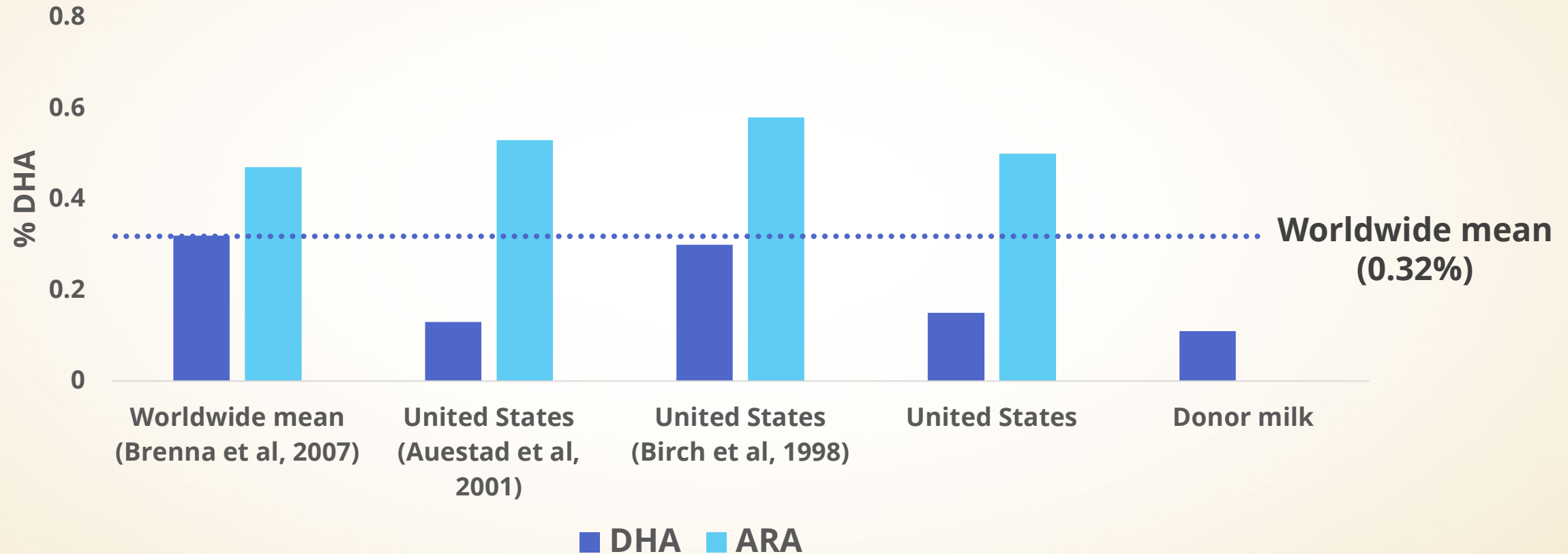
**Sole DHA-infant or maternal supplementation is potentially harmful (reinforcing needing to provide both DHA/ARA)**

1. Collins CT, Makrides M, McPhee AJ. Docosahexaenoic Acid and Bronchopulmonary Dysplasia in Preterm Infants. *N Engl J Med.* 2017;376(13):1245–55.
2. Marc I, Piedboeuf B, Lacaze-Masmonteil T. Effect of Maternal Docosahexaenoic Acid Supplementation on Bronchopulmonary Dysplasia-Free Survival in Breastfed Preterm Infants: A Randomized Clinical Trial. *JAMA* 2020;324(2):157–67.





# Donor Milk Levels of DHA Are Lower Than Mean Reported Levels<sup>1†</sup>



†Descriptive meta-analysis of 65 studies of human breast milk, including 2474 women.

1. Valentine C, et al. *J Pediatr*. 2010;157(6):906-910. 2. Auestad N, et al. *Pediatrics*. 2001;108(2):372-381. 3. Birch EE, et al. *Pediatr Res*. 1998;44(2):201-209. 4. Brenna JT, et al. *Am J Clin Nutr*. 2007;85(6):1457-1464.



# Fatty Acid Replacement in Formula Is Challenging and Requires Standardization

- Defining target levels
- Determining dietary balance of n-3:n-6 fatty acids
- Ensuring optimal sn-position for absorption and incorporation into cellular phospholipids
- Optimizing digestion and absorption
- Achieving adequate levels at the tissue, cellular, and molecular levels





# CURRENT NICU PRACTICES INVOLVING DHA/ARA IN PRETERM INFANTS



# Current Practices

- Provide long chain PUFA in enteral feedings<sup>1</sup>
- DHA/ARA are available in breast milk and commercially available infant formula<sup>1</sup>
- Very premature infants do not reach full enteral feedings for several weeks<sup>1</sup>
  - Standard parenteral lipid emulsions do not provide DHA/ARA<sup>1</sup>
  - After reaching full enteral feedings, standard dietary DHA provision<sup>2</sup> is not adequate to alleviate deficiency of prematurity<sup>3,4</sup>

1. Baack ML, et al. *Lipids*. 2016;51(4):423-433. 2. Brenna JT, et al. *Am J Clin Nutr*. 2007;85(6):1457-1464. 3. Harris WS, et al. *J Perinatol*. 2015;35(1):1-7. 4. Lapillonne A, et al. *Neonatology*. 2010;98(4):397-403.



# Current Parenteral Nutrition Practices<sup>†</sup>

## Lipid emulsion ≤28 days (mean [SD])

	Birth (n = 17)	2 weeks (n = 14)	4 weeks (n = 17)	8 weeks (n = 17)
LA	3.6 (1.6)	8.7 (2.1)	8.8 (2.1)	7.9 (1.9)
ALA	0.03 (0.02)	0.08 (0.03)	0.07 (0.03)	0.07 (0.03)
DHA	5.3 (1.7)	3.6 (1.0)	3.7 (1.1)	4.2 (1.9)
ARA	14.8 (2.2)	12.0 (2.0)	12.7 (1.8)	11.5 (2.5)

## Lipid emulsion >28 days (mean [SD])

	Birth (n = 17)	2 weeks (n = 14)	4 weeks (n = 17)	8 weeks (n = 17)
LA	3.6 (1.0)	10.1 (2.2)	11.3 (2.4)	10.1 (3.1)
ALA	0.03 (0.01)	0.09 (0.04)	0.12 (0.04)	0.12 (0.06)
DHA	5.5 (1.4)	3.0 (1.0)	3.1 (0.4)	2.7 (0.6)
ARA	15.7 (3.8)	11.7 (2.3)	11.4 (1.9)	9.4 (1.6)

- Infants showed a decline in DHA/ARA over time
- Longer exposure to intravenous lipid emulsion had a significantly greater decline in RBC DHA levels
- Infants who received intravenous lipid emulsion >28 days had longer time to start enteral feeds and reached full enteral nutrition 33 days later

<sup>†</sup>Prospective cohort study of 26 extremely low-birth-weight infants given intravenous lipid emulsion for ≤28 days or >28 days to assess change in LCPUFA

Levels reported as weight % (g/100 g).



# Transition to Enteral Nutrition

Even after transitioning to full enteral feedings, premature infants cannot overcome the decline in whole blood DHA/ARA levels apparent after birth<sup>1</sup>

Suggests limited activity of desaturase enzymes<sup>2</sup> or increased utilization of DHA/ARA due to disease or disease severity



# The Role of Clinicians

- Help families navigate supplementation fads by sharing evidence from high-quality studies
  - Discuss problems with observational and cross-sectional findings used by companies to promote products that are not regulated directly to parents
- Consider better ways to supplement DHA/ARA in the highest risk patients



# The Role of Nurses and Dietitians

- Provide diet-related information to pregnant and lactating women to increase omega-3 fatty acid intake
- Collaborate with colleagues to determine what supplements/formulas would be appropriate to help ensure adequate DHA intake
- Continue to monitor supplement/formula developments





# The Role of Hospital Pharmacists

- Provide advice
  - Appropriate choice and correct use of formula
  - Appropriate choice of foods and drinks in weaned infants
  - Vitamin and fatty acid supplementation
- Collaborate with colleagues to ensure adequate information is provided throughout pregnancy to make informed choices about feeding
- Evaluate for and counsel on potential medication and supplement interactions



# Future Considerations

- Define goals for fatty acid levels at specific, clinically relevant times
- Ongoing monitoring
  - Feeding advancement
  - Adverse events
  - Effects on other essential fatty acid levels
- DHA/ARA supplementation
  - Provide additional daily enteral DHA (start before full enteral feedings are reached and at a dose approximating in utero accretion rates)



**ANY  
Questions?**